

# COLE PARTNERS

Cole Partners, established in 1998, creates and executes strategic marketing plans for single-manager alternative investment products. Our team includes professionals with a wide variety of investment experience, including roles in portfolio management, marketing, institutional sales, and derivatives trading. Cole Partners is a registered Broker Dealer with the NASD, and a registered Commodities Trading Advisor (CTA) with the NFA. Securities are offered through Cole Partners, LLC.

## **Preliminary Manager Evaluation**

At Cole Partners, we continually evaluate alternative investment products from investment managers who are seeking marketing representation. Because we must consider each strategy on the merits of its investment approach as well as its synergy with our marketing capabilities, our assessment includes reviews by both our research and marketing staffs. In order for us to begin our evaluation, we request that investment managers submit the following information:

1. Contact Information, including a list of all key partners.
2. Please briefly describe your investment strategy.
3. What are your current assets under management and capacity?
4. What would you describe as your competitive edge(s)?
5. Please provide any current marketing materials, including monthly performance record, if available.